



Receipt

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al. ) Customer No.: 22927  
For: METHOD AND APPARATUS FOR )  
FACILITATING ELECTRONIC )  
COMMERCE THROUGH PROVIDING )  
CROSS-BENEFITS DURING A )  
TRANSACTION ) Examiner: Not Assigned  
Serial No.: 09/219,267 ) Group Art Unit: 2761  
Filing Date: December 23, 1998 ) Docket No.: 98-109

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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JUL 12 2000  
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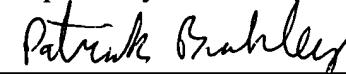
### TRANSMITTAL LETTER

Applicants hereby submit the following documents for the above-identified patent application:

1. 4th Request for Corrected Filing Receipt;
2. Copy of Declaration & Power of Attorney;
3. Red-lined Copy of Official Filing Receipt; and
4. Postcard.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$0.00 to Deposit Account No. 50-0271. Order No. 98-109. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 98-109. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,



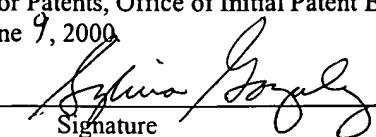
Patrick J. Buckley  
Attorney for Applicants  
PTO Reg. No. 40,928  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905

June 8, 2000  
Date

### Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on June 9, 2000.

Sylvia Gonzalez  
Typed Name of Person Making  
Deposit

  
Signature

06/9/00  
Date



Docket No.: 98-109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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4th REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the addition of a third and fourth inventor. In particular, applicants request that the names of **JOHN M. PACKES, JR. and JAMES A. JORASCH** be added as third and fourth inventors respectively. The requested change has been indicated in red on the enclosed copy of the official filing receipt.

In support of this request, applicants have enclosed a copy of the Declaration and Power of Attorney for the patent application. Please issue a corrected Filing Receipt in due course.

Please charge \$25.00 to Deposit Account No. 50-0271. A duplicate copy of this page is enclosed.

The Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.19(h), which may be required during the pendency of this application, or to credit any overpayment, to Deposit Account No. 50-0271.

Respectfully submitted,

Patrick Buckley

Patrick J. Buckley  
Attorney for Applicants  
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June 8, 2000  
Date

FILING RECEIPT

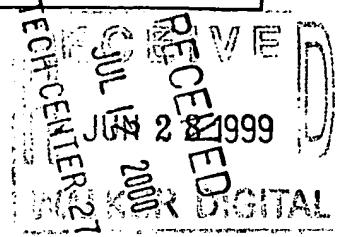
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/219,267	12/23/98	2761	\$1,036.00	WD-98109	18	64	8

DEAN ALDERUCCI  
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FIVE HIGH RIDGE PARK  
STAMFORD CT 06905



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

JAY S. WALKER, RIDGEFIELD, CT; DANIEL E. TEDESCO, /  
NEW CANAAN, CT; John M. Packers, Jr.; James A. Jorasch.

## CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/943,483 10/03/97  
WHICH IS A CIP OF 08/923,683 09/04/97  
WHICH IS A CIP OF 08/889,319 07/08/97  
WHICH IS A CIP OF 08/707,660 09/04/96 PAT 5,794,207  
AND A CIP OF 09/100,684 06/19/98  
WHICH IS A CIP OF 08/982,149 12/01/97  
AND A CIP OF 08/994,426 12/19/97  
WHICH IS A CIP OF 08/920,116 08/26/97  
WHICH IS A CIP OF 08/822,709 03/21/97

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 01/21/99

## TITLE

METHOD AND APPARATUS FOR FACILITATING ELECTRONIC COMMERCE THROUGH  
PROVIDING CROSS- BENEFITS DURING A TRANSACTION

PRELIMINARY CLASS: 705

DATA ENTRY BY: BATIE, MARGARET

TEAM: 01 DATE: 06/21/99



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Bib Data Sheet



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COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
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*ST 11*

SERIAL NUMBER 09/219,267	FILING DATE 12/23/1998 RULE	CLASS 705	GROUP ART UNIT 2761	ATTORNEY DOCKET NO. WD-98109
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## APPLICANTS

JAY S. WALKER, RIDGEFIELD, CT ;  
DANIEL E. TEDESCO, NEW CANAAN, CT ;  
JOHN M. PACKES JR., STAMFORD, CT ;  
JAMES A. JORASCH, STAMFORD, CT ;

## \*\* CONTINUING DATA \*\*\*\*\*

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WHICH IS A CIP OF 08/923,683 09/04/1997  
WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169  
WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207  
AND A CIP OF 09/100,684 06/19/1998  
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AND A CIP OF 08/994,426 12/19/1997  
WHICH IS A CIP OF 08/920,116 08/26/1997  
WHICH IS A CIP OF 08/822,709 03/21/1997

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE

GRANTED \*\* 01/21/1999

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CT	SHEETS DRAWING 18	TOTAL CLAIMS 64	INDEPENDENT CLAIMS 8
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

## ADDRESS

WALKER DIGITAL  
ONE HIGH RIDGE PARK  
STAMFORD, CT 06905

## TITLE

METHOD AND APPARATUS FOR FACILITATING ELECTRONIC COMMERCE THROUGH PROVIDING  
CROSS- BENEFITS DURING A TRANSACTION

FILING FEE RECEIVED 1036	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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